

SF-111

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

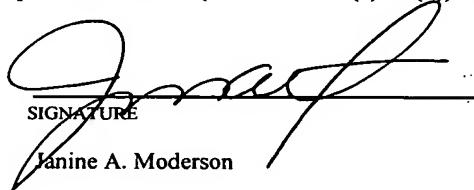
10/525,117

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO. PCT/IB2002/003321	INTERNATIONAL FILING DATE 19 August 2002	PRIORITY DATE CLAIMED 19 August 2002
TITLE OF INVENTION CONVEYOR BELT ARRESTOR		
APPLICANT(S) FOR DO/EO/US Hubert de Villiers BARNARD		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND OR SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). (verified) 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). (verified) 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (2 pages, executed, attached to a copy of the International Application) 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (2 pages) 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. (attached to a red-ink marked-up version of the English language translation) 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> - Copy of Notification of Missing Requirements Under 35 U.S.C. 371 - Certificate of Mailing by Express Mail (2 pages) - Return Receipt Postcard - Form PTO-1449 (2 pages) and with a copy of each and every reference cited therein 		

I/ csh

EXPRESS MAIL NO.: EV731979604US
MAILED: 07 September 2005
CUSTOMER NO.: 42419

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/525,117	INTERNATIONAL APPLICATION NO. PCT/IB2002/003321	ATTORNEY'S DOCKET NUMBER SE 111		
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):		CALCULATIONS PTO USE ONLY		
Basic National Filing Fee \$ 300.00 National Stage Search Fee \$ 500.00 National Stage Examination Fee \$ 200.00				
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims			X \$50.00	
Independent claims			X \$200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	
TOTAL OF ABOVE CALCULATIONS =		\$ 130.00		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		+ \$ 65.00		
SUBTOTAL =		\$ 65.00		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+ \$ 0.00		
TOTAL NATIONAL FEE =		\$ 65.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+ \$ 0.00		
TOTAL FEES ENCLOSED =		\$ 65.00		
		Amount to be: refunded	\$ 0.00	
		charged	\$ 65.00	
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$65.00</u> to cover the above fee is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-3550</u>. A duplicate copy of this sheet is enclosed.</p>				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
09/13/2005 GFREY1 00000125 10525117		65.00 OP		
SEND ALL CORRESPONDENCE TO:				
Pauley Petersen & Erickson 2800 West Higgins Road, Suite 365 Hoffman Estates, Illinois 60195 (847) 490-1400 Fax: (847) 490-1403				
 SIGNATURE Janine A. Moderson		NAME 50,981		
REGISTRATION NUMBER				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hubert de Villiers BARNARD
Title: CONVEYOR BELT ARRESTOR
Serial No.: 10/525,117
Based Upon: PCT/IB2002/003321
Express Mail No.: EV731979604US
Date of Deposit: 07 September 2005

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the following requisites of the subject patent application are being deposited with the United States Postal Service as Express Mail Post Office to Addressee No. EV478067828US, on 07 September 2005, and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, together with the items listed below.

- Transmittal Letter (Form PTO-1390) (2 pages), in duplicate

Serial No.: 10/525,117

- Executed Combined Declaration, Power of Attorney and Petition (2 pages), attached to a copy of the International Application
- First Information Disclosure Statement (2 pages), together with Form PTO-1449 (2 pages), and with a copy of each and every cited patent reference
- A check in the amount of \$65.00 to cover the fees set forth in the Transmittal Letter
- Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)
- Return Receipt Postcard

Respectfully submitted,



Janine A. Moderson
Registration No. 50,981

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2800 West Higgins Road; Suite 365
Hoffman Estates, Illinois 60195
TEL (847) 490-1400
FAX (847) 490-1403



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/525,117	Hubert de Villiers Barnard	SF-111

42419
 PAULEY PETERSEN & ERICKSON
 2800 WEST HIGGINS ROAD
 SUITE 365
 HOFFMAN ESTATES, IL 60195

RECEIVED

JUL 11 2005

Pauley Petersen & Erickson

INTERNATIONAL APPLICATION NO.

PCT/IB02/03321

I.A. FILING DATE	PRIORITY DATE
	08/19/2002

CONFIRMATION NO. 5594
 371 FORMALITIES LETTER



OC000000016456524

Date Mailed: 07/07/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/18/2005
- Copy of the International Search Report filed on 02/18/2005
- Preliminary Amendments filed on 02/18/2005
- Small Entity Statement filed on 02/18/2005
- Request for Immediate Examination filed on 02/18/2005
- U.S. Basic National Fees filed on 02/18/2005

Resp / Missing Reqs
 DOCKETED

DATE 07 September 2005

ATTORNEY [Signature]

ENTERED lao CSN

004399

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- \$65 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/525,117	PCT/IB02/03321	SF-111

FORM PCT/DO/EO/905 (371 Formalities Notice)